PCT/PTO 16 11N 2005 PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021 JC02 Rec'd PCT/PTO

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#### TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER DE 020331 U.S. APPLICATION NO. (If known, see 37 CER 1.5)

| CONCERNING A SUBMISSIO   | 10/539693   |  |  |  |  |  |
|--|---|--|--|--|--|--|
| INTERNATIONAL APPLICATION NO.<br>PCT/IB03/05777  | INTERNATIONAL FILING DATE<br>December 9, 2003   | PRIORITY DATE CLAIMED  December 20, 2002 |  |  |  |  |
| TITLE OF INVENTION HIGH-PRESSURE GAS DISCHARGE LAMP  |   |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US Michael HAACKE; Pieter POSTMA  |   |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:                                      |   |  |  |  |  |  |
| 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |   |  |  |  |  |  |
| 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |   |  |  |  |  |  |
| 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |  |  |  |  |  |
| 4. The US has been elected (Article 31).   |   |  |  |  |  |  |
| 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |   |  |  |  |  |  |
| a. is attached hereto (required only if not communicated by the International Bureau).   |   |  |  |  |  |  |
| b. 🚺 has been communicated by  | b. 🗸 has been communicated by the International Bureau.   |  |  |  |  |  |
| c. is not required, as the applic  | c. is not required, as the application was filed in the United States Receiving Office (RO/US). |  |  |  |  |  |
| 6. An English language translation of the  | e International Application as filed (35 U.S.C  | C. 371(c)(2)).                           |  |  |  |  |
| a. is attached hereto.   |   |  |  |  |  |  |
|  | ted under 35 U.S.C. 154(d)(4).  |  |  |  |  |  |
| 7. Amendments to the claims of the Inter   | rnational Application under PCT Article 19 (  | 35 U.S.C. 371(c)(3))                     |  |  |  |  |
| a. are attached hereto (requir   | red only if not communicated by the Internat  | tional Bureau).                          |  |  |  |  |
| b. have been communicated  | b. have been communicated by the International Bureau.  |  |  |  |  |  |
| c. have not been made; howe  | c. have not been made; however, the time limit for making such amendments has NOT expired.      |  |  |  |  |  |
| d. 🗹 have not been made and w  | vill not be made.   |  |  |  |  |  |
| 8. An English language translation of the  | e amendments to the claims under PCT Art  | icle 19 (35 U.S.C. 371(c)(3)).           |  |  |  |  |
| 9. An oath or declaration of the inventor  | s) (35 U.S.C. 371(c)(4)).   | ·  |  |  |  |  |
| 10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).   | e annexes of the International Preliminary E  | xamination Report under PCT              |  |  |  |  |
| Items 11 to 20 below concern document(s)   | or information included:  |  |  |  |  |  |
| 11. An Information Disclosure Statement  | under 37 CFR 1.97 and 1.98.   |  |  |  |  |  |
| 12.  An assignment document for recording  | g. A separate cover sheet in compliance wi  | th 37 CFR 3.28 and 3.31 is included.     |  |  |  |  |
| 13. A preliminary amendment.   | A preliminary amendment.  |  |  |  |  |  |
| 14. An Application Data Sheet under 37 C   | CFR 1.76.   |  |  |  |  |  |
| 15. A substitute specification.  |   |  |  |  |  |  |
| 16. A power of attorney and/or change of   | address letter.   |  |  |  |  |  |
| 17. A computer-readable form of the sequ   | uence listing in accordance with PCT Rule 1   | 3ter.2 and 37 CFR 1.821- 1.825.          |  |  |  |  |
| 18. A second copy of the published Intern  | ational Application under 35 U.S.C. 154(d)(   | (4).                                     |  |  |  |  |
|  | e translation of the international application  |  |  |  |  |  |
| 20. Other items or information: Confirmation   | aii Certificate; PTO/SB/80; PTO/SB/96; P  | TO/SB/08A; Charge Authorization; Receipt |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# JC09 Rec'd PCT/PTO 16 JUN 2005.

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007, OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| U.S. APPLICATI   | ON NO. (if know                                | n, see 37 CFR 1.5) | INTERNATIONAL AF                                  | PPLICATION NO. | ATTORNEY'S DOCKET NUMBER |           |
|--|--|--------------------|---|----------------|--------------------------|-----------|
|  | PCT/IB03/05777                                 |                    |   | DE 020331      |                          |           |
| The following fees have been cubinittee  |  |                    |   | CALCULATIONS   | PTO USE ONLY             |           |
| 21. 🛛 Basid  | national fee                                   |                    | ••••••  | \$300          | \$ 300.00                |           |
| 22.  |  |                    |   | \$ 200.00      |                          |           |
| 23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority   |  |                    |   | \$ 400.00      |                          |           |
| TOTAL OF 21, 22 and 23 =   |  |                    |   | \$ 900.00      |                          |           |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |  |                    |   |                |                          |           |
| Total Sheets   | Extra Sheets                                   |                    | additional 50 or fraction<br>p to a whole number) | RATE           |                          |           |
| - 100 =  | /50 =  |                    |   | x \$250        | \$                       |           |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).   |  |                    |   |                | \$                       |           |
| CLAIMS   | NUMB   | ER FILED           | NUMBER EXTRA                                      | RATE           | \$                       | <u></u>   |
| Total claims   | 8  | - 20 =             |   | × \$50         | \$ 0.00                  |           |
| Independent claim  | s 1  | - 3 =              |   | × \$200        | \$ 0.00                  |           |
| MULTIPLE DEPE  | NDENT CLAIM(S                                  | S) (if applicable) |   | + \$360        | \$                       | -         |
|  |  |                    | TOTAL OF ABOVE                                    | CALCULATIONS = | \$ 0.00                  |           |
| Applicant clair  | ns small entity st                             | atus. See 37 CFR   | 1.27. Fees above are reduc                        | ed by 1/2.     |                          |           |
| SUBTOTAL =   |  |                    |   | \$ 900.00      |                          |           |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).  |  |                    | \$  |                |                          |           |
| TOTAL NATIONAL FEE =   |  |                    | \$ 900.00   |                |                          |           |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +   |  |                    |   | \$ 40.00       |                          |           |
|  |  |                    | TOTAL FI  | EES ENCLOSED = | \$ 940.00                |           |
|  |  | <del>).</del>      |   |                | Amount to be refunded:   | \$        |
| <u> </u>   |  |                    |   |                | Amount to be charged:    | \$ 940.00 |
| a. A check in the amount of \$ to cover the above fees is enclosed.  |  |                    |   |                |                          |           |
| b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 940.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.   |  |                    |   |                |                          |           |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 . A duplicate copy of this sheet is enclosed.   |  |                    |   |                |                          |           |
| d. Fees are to be charged to a credit card. WARNING; Information on this form may become public. Credit card information should not  |  |                    |   |                |                          |           |
| be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed   |  |                    |   |                |                          |           |
| and granted to restore the International Application to pending status.  |  |                    |   |                |                          |           |
| SEND ALL CORRESPONDENCE TO:    SIGNATURE   SIGNATURE |  |                    |   |                |                          |           |
| Cor  | orate Paten                                    | t Counsel          |   | Frank Kaasan   |                          |           |
| Philips Electronics North America Corporation  Frank Keegan  NAME  |  |                    |   |                |                          |           |
| P.O.   | Box 3001                                       |                    |   | 50,145         |                          |           |
| Bria   | Briarcliff Manor, NY 10510 REGISTRATION NUMBER |                    |   |                |                          |           |

### 10/539693 JC09 Rec'd PCT/PTO 16 JUN 2009

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

MICHAEL HAACKE et al.

DE 020331

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

HIGH-PRESSURE GAS DISCHARGE LAMP

|                            | CERTIFICATE OF EXPRESS MAILING  |
|----------------------------|---|
| [X] Express Mail Mail      | ing Label No.   |
|                            | EV664852577-US  |
| Date of Deposit:           | 6-16-05   |
| Service "Express Mai       | this paper and/or fee is being deposited with the United States Postal Post Office to Addressee" service under 37 C.F.R. 1.10 on the date is addressed to the Commissioner for Patents, PO Box, 1450, Alexandria, |
| Jeanne Rusciano Typed Name | Signature Luciano   |
|                            |   |

10/539693 JC09 Rec'd PCT/PTO 16 JUN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Ex.

HIGH-PRESSURE GAS DISCHARGE LAMP

Commissioner for Patents Alexandria, VA 22313-1450

## AUTHORIZATION PURSUANT TO 37 CFR §1.136(a)(3) AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

rank J. Keegan, Reg. 50,145

Attorney

(914) 333-9669